

Audit and Compliance Framework

Phase 1 - Self Assessment

Provider receives self assesment questionnaire

Provider completes the self assesment

Provider checks degree of compliance and signs declaration

Comply?

No

Yes

Provider improves performance and revisits questions

Phase 2 - Review and Verification

Submit self assesment review

Comply?

No

Yes

Provide data to Verifier (with plan)

Verification report returned for review

Comply?

No - Audit Panel follow up

Yes

Phase 3 - Certificate Renewal

Periodic re certification due

